

In re Application of: De Bruin et al.  
Serial Number: To be Assigned  
Filed: Herewith  
Examiner: To be Assigned  
Group Art Unit: To be Assigned  
For: METHOD AND APPARATUS FOR THE CONTINUOUS  
PRODUCTION OF DIARYL CARBONATES

11011 U.S. PTO  
09/824886  
04/03/01

## INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks  
Washington, DC 20231

SIR:

- ☒ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

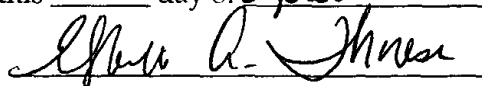
☒ 5 A list of documents on form PTO-1449 previously submitted. Each of the identified documents was cited by or submitted to the USPTO in the pending prior application Serial No. 09/457,320 filed on 12/08/99 entitled METHOD AND APPARATUS FOR THE CONTINUOUS PRODUCTION OF DIARYL CARBONATES by the following inventor(s): Philip R. de Bruin, James S. Law, Vincentius Antonius Vriens, which is relied upon for in a earlier filing date under 35 U.S.C. 120. Please consider these documents in the prosecution of this case.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

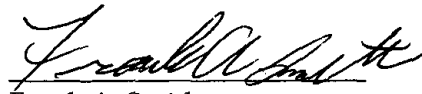
## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231, on this 3 day of April, 2001.

  
Elizabeth A. Thoresen

- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR §1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information; e.g. "Allowed Files, Batch \_\_\_\_, Date of Allowance \_\_\_\_\_", and
- b) The required Certification is stated in item 11 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to **Deposit Account No.07-0862**. An original and two (2) copies of this document are enclosed.

Respectfully submitted,



Frank A. Smith  
Attorney for Applicants  
Registration No. 39,375  
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General Electric Company  
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FAS/et

<b>PTO-1449</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>LIST OF ITEMS</b>  (Use several sheets if necessary)	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">           Attorney's Docket Number            8CL-7197A         </td> <td style="width: 50%;">           Serial Number            To be assigned         </td> </tr> <tr> <td colspan="2">           Name of Applicant            De Bruin et al.         </td> </tr> <tr> <td>           Filing Date            Herewith         </td> <td>           Group            To be assigned         </td> </tr> </table>	Attorney's Docket Number 8CL-7197A	Serial Number To be assigned	Name of Applicant De Bruin et al.		Filing Date Herewith	Group To be assigned
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U.S. PATENT DOCUMENTS												
* Examiner Initials	Document Number								Date	Name	Class	Filing Date if Appropriate
	AA	4	9	4	8	8	7	1	08/14/90	Fukuoka et al.		
	AB	5	2	1	0	2	6	8	05/11/93	Fukoka et al.		
	AC	5	3	4	4	9	5	4	09/06/94	Schon et al.		
	AD	5	7	0	5	6	7	3	01/06/98	Rivetti et al.		
	AE	5	4	7	8	9	6	2	12/26/95	De Nardo et al.		
	AF	5	5	2	7	9	4	3	06/18/96	Rivetti et al.		
	AG	4	2	1	8	3	9	1	08/19/80	Romano et al.		
	AH	4	3	1	8	8	6	2	03/09/82	Romano et al.		
	AI	5	2	1	0	2	6	9	05/11/93	Di Muzio et al.		
	AJ	5	5	3	6	8	6	4	07/16/96	Paret et al.		
	AK											
	AL											

FOREIGN PATENT DOCUMENTS							
	Document Number			Date	COUNTRY	Class	TRANSLATION YES NO
	AM	EP 461 274			12/28/90	European	
	AN	EP 582 930 (abstract)			08/02/93	European	
	AO	EP 582 931 (abstract)			08/02/93	European	
	AP	EP 781760			07/02/97	European	
	AQ	Building Permit Application and Drawing – 12/16/86					
	AR	International Search Report for International Application No. PCT/US 00/31335 International Filing date 11/15/2000					
	AS						

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

<b>PTO-1449</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b><u>LIST OF ITEMS</u></b>  (Use several sheets if necessary)	Attorney's Docket Number 8CL-7197A	Serial Number To be assigned
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AA						
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AC						
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AH						
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AK						
AL						

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
AM	EP 785184	07/23/97	European			
AN	EP 582 931 (abstract)	08/02/93	European			
AO						
AP						

AQ	
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